



Our Privacy Pledge

We value you as a client and respect your right to privacy. We want you to understand what information we collect and how we use it. We pledge our commitment to treat your information responsibly and confidentially.

The categories of nonpublic information we collect depend upon the scope of services and products requested by you. This may include your name, phone number, address, beneficiaries, Social Security number, and family member information. This may also include credit rating, assets, income, and property address and value.

To provide our clients with a broad range of insurance products and services as effectively and conveniently as possible, we use technology to manage and maintain client information. We maintain strict policies and security controls to assure that client information in our computer systems and files is protected.

- We use protected agency management systems and store your information electronically in an encrypted backup system.
- We restrict access to your information to those who need it to deliver the products and services we provide to you.
- We maintain a secure office and use shredding services for document disposal.
- To the extent possible through employee policies, structured access, regular software updates and monitoring, we maintain a secure computer environment.

We comply with all policies and procedures of carriers and companies with whom we do business to secure the products you purchase. We comply with all of the Security Exchange Commission and FINRA compliance rules, regulations and procedures through regular reporting and onsite security measure inspections by our Broker Dealer.

We do not sell your information or provide it to mailing lists or solicitors for any purpose. We do not disclose information to nonaffiliated third parties, except for legal or regulatory purposes as required or permitted by law. We disclose information we receive from you on applications or other forms to business partners who perform services for us and for you. We do not use it or share it, internally or externally, for any purpose except for the following:

- Obtaining underwriting of insurance
- Administering your policy, account, or claim
- As required or permitted by law
- As otherwise authorized by you

If you have any questions about our privacy policy, please contact us. 866-341-3478

For related privacy information:

www.dol.gov/ebsa/complianceassistance.html#section4

www.consumer.gov/idtheft or 1-877-438-4338.

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www.finra.org

www.sipc.org